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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/044,008	01/11/2002	Namit Jain	OR01-07401	8148

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EXAMINER

CHEN, SHIN HON

ART UNIT PAPER NUMBER

2131

DATE MAILED: 08/17/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/044,008

Applicant(s)

JAIN ET AL.

Examiner

Shin-Hon Chen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 June 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-8,10-15 and 17-24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 3-8, 10-15, and 17-24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1, 3-8, 10-15, and 17-24 have been examined.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 3-8, 10-15, and 17-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Meffert et al. US (2002/0059144) in view of Liu US (2002/0143710).
4. Regarding claims 1,8, 15, and 22-24: Meffert discloses a method to enable a database system to prove that an origin system sent a message, the method comprising: creating a message at an origin; (Page 7, Paragraph 72, lines1-4) computing a digest of the message; (Page 7, Paragraph 72, lines 14-18) signing the digest using an origin private encryption key; (Page 7, Paragraph 72, lines 41-21) sending the message and the digest to a queue located in third party device for delivery to a recipient;(Page 6, Paragraph 66 & Page 7, Paragraph 72 the control server and the database can be located on different devices or in the same device) receiving the message and the digest at the queue; (Page 8, Paragraph 76 lines 23-27) placing the message and digest on the queue and persistently storing the signed first digest with the message, which enable the database system to present the signed first digest as proof that the original system sent the message, thereby preventing the sender from persuasively denying that the sender sent the

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message (Paragraph 72: a tracking number is assigned to the package and stored....the sent database is updated... the e-mail is signed to generate hash value to create a package), and notifying the recipient that the message is available.(Page 8, Paragraph 76, lines 27-30) generating a request at the recipient to receive the message from the queue located in the third party device; (Page 7, Paragraph 74, lines 3-6) creating a signature for the request using a recipient private encryption key; sending the request and the signature to the queue;(Page 8, Paragraph 77, lines 14-23) validating the request at the queue (Page 7, Paragraph 38, lines 4-9) and if the request is valid, sending the message to the recipient (Page 7, Paragraph 38, lines 8-11 & Page 4, Paragraph 38, lines 3-6) but he doesn't explicitly disclose Verifying that the digest was signed at the origin by using an origin public encryption key and notifying the recipient if the digest is verified and sending the message digest to the recipient if the request is valid; signing the digest at the recipient using the recipient private encryption key to create a signed digest; and validating the signed digest before sending the message. However Liu disclose a method for certified transmission system where he discloses generating a message digest and encrypting the digest to create a signature (Page 2, Paragraph 25) and verifying the signature at the server before granting the recipient access to the document (Page 4, Paragraph 0037, lines 12-15 and Page 4, Paragraph 38); encrypting the message digest using the recipient private key (Page 4, Paragraph 37, Lines 1-8) and validating the signed digest at the queue using the recipient public encryption key before the transmission is complete (Page 4, Paragraph 37, lines 14-22). Therefore it would have been obvious to one ordinary skilled in the art at the time the invention was made to modify Meffert system with the teaching of Liu to include the steps of verifying the message signature before notifying the recipient of the message and sending the message digest to the recipient;

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creating a signed digest; and validating the signed digest before sending the message. One would be motivated to do so in order to enable the system to provide message origin and/or destination non-repudiation service for all messages (Page 1, paragraph 5 & Page 4, Paragraphs 43-47) . Additionally verifying the message digest at the server guarantees that the receipt provided by the server will be for the same message.

5. Regarding claims 3, 10 and 17: Meffert discloses the method of claims 22, 23, and 24 respectively, further comprising passing the message and the digest through a plurality of queues between the origin and the recipient (Page 6, Paragraph 68, lines 1-14) whereby the recipient and the origin are subscribers of different queues (Page 6, Paragraph 69, lines 1-6 and Page 10, Paragraph 96, lines 1-9)

6. Regarding claims 4, 11 and 18: Meffert discloses the method of claim 3, 10, and 17 respectively, further comprising passing the message and the digest through a plurality of databases, wherein each database in the plurality of databases includes at least one queue of the plurality of queues. (Page 6, Paragraph 66 and item 500 of FIG. 1)

7. Regarding claim 5, 12 and 19: Meffert discloses the method of claims 1, 8 and 15 respectively; wherein the origin public encryption key and the origin private encryption key are a key pair of a public key encryption system.(Page 3, Paragraph 36 and Page 8, Paragraph 76, lines 13-27)

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8. Regarding claim 6, 13 and 20: Meffert discloses the method of claims 1, 8, and 15 respectively, wherein the recipient public encryption key and the recipient private encryption key are a key pair of a public key encryption system. (Page 6, Paragraph 65 and Page 7, Paragraph 70, lines 22-31)

9. Regarding claims 7, 14 and 21: Meffert discloses the method of claims 1, 8, and 15 respectively, wherein computing the digest includes using one of message digest 2 (MD2), message digest 4 (MD4), message digest 5 (MD5), secure hash algorithm (SHA), and secure hash algorithm 1 (SHA1). (Page 7, Paragraph 72, lines 12-18)

Response to Arguments

10. Applicant's arguments filed on 6/8/06 have been fully considered but they are not persuasive.

Regarding applicant's remarks, applicant argues that the prior art of record does not provide non-repudiation of origin system that sent the message. However, Meffert discloses that the e-mail is signed using certificate based SHA-1 signed MD5 hash value to generate a package (Meffert: [0072]). Digital signature is well known in the art to provide non-repudiation for both sender and recipient. Therefore, applicant's argument is respectfully traversed.

Furthermore, applicant points out that the examiner might have responded to amendment filed on 6/27/05 instead of 11/17/05. However, the previous office action stated that claims 1, 3-8, 10-15, and 17-24 have been examined. Therefore, the previous office action has responded to the amendment filed on 11/17/05.

Conclusion

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Mitty et al. U.S. Pub. No. 20010037453 discloses secure electronic transactions using a trusted intermediary with non-repudiation of receipt and contents of message.

Bush et al. U.S. Pat. No. 6963971 discloses method for authenticating electronic documents and provide for non-repudiation of authenticated documents by sender and recipient.

Jivsov U.S. Pat. No. 6904521 discloses non-repudiation of e-mail messages through trusted third party arbiter.

Olkin et al. U.S. Pub. No. 20030046533 discloses secure e-mail system.

12. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shin-Hon Chen whose telephone number is (571) 272-3789. The examiner can normally be reached on Monday through Friday 8:30am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Shin-Hon Chen
Examiner
Art Unit 2131

SC

CHRISTOPHER REVAK
PRIMARY EXAMINER

CR 01/16/06